

Netwealth

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# **Change of Company/Trust Details**

Share Trading is a service provided by Australian Investment Exchange Ltd (the Participant, we, us, our) ABN 71 076 515 930 AFSL 241400, a participant of the ASX Group and Chi-X Australia.

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#### Where to send the completed form?

Once completed and signed, please return the form and any supporting identification documents by email (preferred), fax or post to the details listed at the top of this page.

	- Existing Company	//Trust Details		
Trading Account Number  Trading Account Name				
Australian Company Number (ACN) (if applicable)				
Section 2	2 - Type of Change			
Addre	, ,	ction 4		
Section 3	B – Change of Compa	any Name		
Change o	of Name' from ASIC.	rtificate of Registration of		
Section 4	- Change of Compa	any/Trust Address Details		
	Please complete the s Address/Contact Deta the website to change	-		
	Please complete the s Address/Contact Deta the website to change Secretary or trustees	separate Change of ails form available from the address of Director/		
New Regi	Please complete the s Address/Contact Deta the website to change Secretary or trustees	separate Change of ails form available from the address of Director/ operating the account.		
New Regi	Please complete the s Address/Contact Deta the website to change Secretary or trustees stered Business/Trust	separate Change of ails form available from the address of Director/ operating the account.  Address (cannot be PO Box)		
New Regi Country New Post	Please complete the s Address/Contact Deta the website to change Secretary or trustees of stered Business/Trust State (if not Australia)	separate Change of ails form available from the address of Director/operating the account.  Address (cannot be PO Box)  Postcode		
New Regi Country New Post	Please complete the search of the website to change Secretary or trustees of stered Business/Trust  State  (if not Australia)	separate Change of ails form available from the address of Director/operating the account.  Address (cannot be PO Box)  Postcode		

	State	Postcode
Country (if	not Australia)	
Other CHES	SS Registration Addre	ess (if not New Postal Address
	State	Postcode
Country (if	not Australia)	
o :: 5	01 (0	
Section 5 -	Change of Company	//Trust Contact Details
Email Addre	ess	
Phone	( )	
Saction 6	Change/Add Com	pany Director/Secretary
		-
	ny account.	e the Director/Secretary on
	ore than 1 new Direct	
	to the form.	ppy this section, complete
_	rector/Secretary De	
	ove the Director/Sec Impany account	retary individual listed belov
	inpany document	
	tor/Secretary Detail	
n what cap	pacity are you comple	eting this section?
		ıry
Director	Ms Mrs Mis	ss Dr Other
Mr	e/s	
	e/s	

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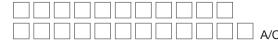
(continued)					
Date of Birth	Gender				
	Male Female				
Job Catego	Job Category				
	and Industry Classifications List available from for a list of acceptable job categories)				
Job Type					
	(see the Job and Industry Classifications List available from the website for a list of acceptable job types)				
Is the Director a Beneficial Owner of the company (Own 25% or more of the shares in the Company, either directly or indirectly)?					
Yes	Yes No (please answer next question)				
If not a Beneficial Owner, is the Director responsible for the strategic or financial decisions of the Company (that is, the individual who exercises primary control over the company because of the position they hold, e.g. the CEO, Managing Director, or equivalent)?  Yes No					
Address De	tails				
Residential A	Address (cannot be a PO Box)				
	Chata				
Country (if r	State Postcode not Australia)				
Courtery (ii i					
Postal Addre	ess Same as Residential Address				
	State Postcode				
Country (if r	not Australia)				
Contact Det	tails				
Email Addres	ss (must be Director/Secretary's email address)				
Nominate yo	ur preferred contact number				
Mobile					
Home					
Work					
Fax					
	nber (TFN) or Exemption Code (optional)				
Providing yo	ur TFN is not compulsory, but if you do not, tax n out of your interest at the highest marginal tax				
Online Access (Optional)					
Nominate a Temporary Password below (if you have an existing Username this will be used)					
	Temporary Login Password (6 to 16 alphanumeric characters, and must not contain the word "password")				

Section 6 - Change/Add Company Director/Secretary

You must provide a Temporary Login Password for online access. Please make a note of the Temporary Login Password you have nominated. You will be asked to change your Password upon your first login. Identification Select one of the options below: If you have an existing trading account with us, please provide your Username Please attach certified copies of ID and consent to the electronic verification to be performed (Refer to section 8 **Declaration & Signatures)** Your ID documents must be in the exact same details as those provided in this form. See the Identification Documentation Requirements available from the website for more information Section 7 – Change of SMSF/Trust Account Designation Please provide an abbreviated version of the full name of the

Please provide an abbreviated version of the full name of the trust (up to 23 characters, including spaces).

The words or reference to "trust", "as trustee for", "trustee", "ATF", "Testamentary" should not be used in Account Designation as it will not be accepted by CHESS.



Please attach a certified copy of the front page of the Trust deed containing the trust name (and a deed of amendment if applicable) and showing the trustee's signature and witness signatures (if formal trust).



If there is a change to the trustee/s operating the account you are required to open a new trading account.

## Section 8 - Declaration & Signature/s

All authorised signatories must sign this declaration for your instructions to be executed.

- I/We authorise you to act in accordance with my/our instructions;
- I/We declare that the information provided on this form is true and correct and that the law prohibits the use of false names, as well as the giving or use of false documents in connection with an identification procedure.

#### For new Company Director/Secretary

 I/We acknowledge that I/we have received and agree to be bound by the Share Trading Terms and Conditions and consent to the collection, use and exchange of my/ our personal information as set out in the Customer Information and Privacy section of the Share Trading Terms and Conditions available from the website.

#### **Identification Verification**

AUSIEX is required by the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 to collect information about you and verify your identity before AUSIEX can provide you with the services or products for which you've applied.

- I/We consent to having electronic identification performed using personal details and identification documents I/we have provided, and understand that providing false or misleading information about my/our identity(s) is an offence under the Anti-Money Laundering and Counter-Terrorism Financing Act 2006.
- I/We consent to having my/our personal details and identification documents matched to information held by the issuer or Official Record Holder via third party systems

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### Section 8 - Declaration & Signature/s (continued)

- I/We consent to having my/our personal details and identification documents matched to information held by the issuer or Official Record Holder via third party systems
- I/We understand that my/our personal information will be exchanged with external organisations including: credit reporting agencies, Commonwealth and State government departments, independent and private sector organisations and outsourced providers who coordinate the electronic identification process and who may conduct additional matches against public or proprietary databases
- As part of the electronic identification process, I/we permit these external organisations to record, use and disclose my/our information in accordance with their own privacy policies and legal obligations. I/We understand that AUSIEX and its outsourced providers will access records held about me/us by these external organisations only for the purpose of matching the identifying information I/we have chosen to provide

I/We consent to providing my/our name(s), address(es) and date of birth to selected credit reporting agencies to match this information against their records. I/We understand that this is done only for identity verification purposes as required by the Anti-Money Laundering and Counter-Terrorism Financing Act 2006.

You do not have to consent to electronic verification. If you do not want to be verified electronically, you have the option to be identified by sending in your original certified copy of identification to us via post.

adminidation to do via pooti		
Name of Account Holder 1/Direct	tor/Secretary 1/ Trustee 1	
X		
Name of Account Holder 2/Director/Secretary 2/Trustee 2		
X		

If there are more than 2 authorised signatories, please photocopy this page and attach to the form.

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