

## 1. Closure of Trading Account

I/We authorise and direct the Participant to close my/our accounts as set out below.

Trading account number

Closure of a trading account will result in any linked Options account being closed and the associated Sponsorship Agreement<sup>1</sup> and Holder Identification Number (HIN)<sup>2</sup> terminated.

Registered name on trading account

I/We hereby request closure of the above trading account and:

Request the remaining stock in the above account be converted to issuer sponsorship **OR**

Request the remaining stock in the above account be transferred to the sponsorship of the following broker:

Broker name

Broker Participant Identification Number (PID)

Holder Identification Number (HIN)

**i** To transfer your stock to another broker, you must contact the receiving broker to initiate the transfer. We require instruction from the receiving broker to release the securities.

## 2. Declaration and Acknowledgment

I/We hereby instruct the Participant to close my/our account/s as indicated on this form.

### Account Holder/Director/Trustee 1

Full name

Date signed – DD / MM / YYYY

/  /

Signature – *Must be signed pen to paper*

### Account Holder/Director/Trustee 2

Full name

Date signed – DD / MM / YYYY

/  /

Signature – *Must be signed pen to paper*

<sup>1</sup> Under the ASX Settlement Operating Rules, a Sponsorship Agreement is a written agreement between a Sponsoring Participant (e.g., Australian Investment Exchange Limited) and another person (e.g., you).

<sup>2</sup> A Holder Identification Number, or 'HIN' is the unique number usually assigned to your account by the Australian Securities Exchange (ASX) when you become a client of a broker.

Share trading is a service provided by Australian Investment Exchange Limited ABN 71 076 515 930, AFSL 241400 (AUSIEX, the Participant, we, us, our), a wholly owned subsidiary of Nomura Research Institute, Ltd. ("NRI"). AUSIEX is a Market Participant of ASX Limited ("ASX") and Cboe Australia Pty Ltd ("Cboe"), a Clearing Participant of ASX Clear Pty Limited and a Settlement Participant of ASX Settlement Pty Limited.

## How to submit your documents

Once completed and signed, please scan and email the form to

[support@sharetrading.netwealth.com.au](mailto:support@sharetrading.netwealth.com.au)



**Netwealth**

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1800 888 223



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