

1. Account details to upgrade

Share Trading account name

Share Trading account number

Declaration and Signature/s

- I/We wish to apply to upgrade to a Netwealth Share Trading Premium Account available for orders placed via the website or call centre.
- I/We declare that the information provided by me/us in the Participant's application form is true, complete and correct.
- I/We confirm that I/we have read and agree to be bound by the Participant's Share Trading Terms and Conditions.
- I/We confirm that I/we have read and agree to be bound by the Participant's Share Trading Premium Terms and Conditions.
- I/We confirm that I/we have read the Participant's Financial Services Guide provided to me/us and understand the brokerage rate charged for a Share Trading Premium Account differs to that of a Standard Share Trading Account. Trades are charged at the premium rate of brokerage regardless of the balance of cleared funds.
- I/We declare that I/we have the legal capacity to make these declarations and accept the conditions. I/We agree and enter into the agreements referred to in points 1, 2, 3, 4 and 5 above.

Applicant 1

Full name

Date – DD / MM / YYYY

 / /

Signature – *Must be signed pen to paper*

Applicant 2

Full name

Date – DD / MM / YYYY

 / /

Signature – *Must be signed pen to paper*

 For joint, trust and company accounts, all authorised signatories to the account must sign this form.

TERMS AND CONDITIONS FOR SHARE TRADING PREMIUM ACCOUNT

These terms and conditions apply to your Share Trading Premium Account with the Participant in relation to the provision of stockbroking execution, clearing, settlement and other services as offered by the Participant from time to time. It should be read in conjunction with the Netwealth Share Trading Terms and Conditions.

1.0 Buy Orders and your Share Trading Premium Account

- When placing orders via the internet to buy securities, you qualify to utilise the Participant's Share Trading Premium Account. When using this facility, you will not need to provide cleared funds prior to placing orders via the internet, providing you stay within the \$25,000 limit that applies to the facility. The \$25,000 limit is inclusive of brokerage, GST and government and ASX, ASX Clear and ASX Settlement levied fees. The Share Trading Premium Account is subject to the terms of this agreement and Netwealth Share Trading Terms and Conditions.
- Internet trading over the \$25,000 limit requires cleared funds in your account with the Participant prior to placing orders. The cleared funds available to the Participant must cover the cost of purchase, including brokerage, GST and government and ASX, ASX Clear and ASX Settlement levied fees.
- The maximum trade value of any individual order that may be placed via the internet is \$100,000. Larger orders may be placed, upon specific application to, and approval by, the Participant. Approval for placing larger orders is in the absolute discretion of the Participant. Each application will be assessed on an individual basis.
- The Participant unreservedly may restrict or suspend your use of the Share Trading Premium Account, at any time. Without limiting the generality of this provision, if you have access to and/or control over other accounts with the Participant (whether or not those accounts are in your own name, and irrespective of how those accounts originated), the Participant may at any time deny you access to the Share Trading Premium Account.

Share Trading is a service provided by Australian Investment Exchange Limited (AUSIEX, the Participant, we, us, our) ABN 71 076 515 930 AFSL 241400, a Market Participant of the ASX Limited and Cboe Australia Pty Ltd, a Clearing Participant of ASX Clear Pty Limited and a Settlement Participant of ASX Settlement Pty Limited.

How to submit your documents

Once completed and signed, please scan and email the form to

 support@sharetrading.netwealth.com.au



Netwealth

Locked Bag 3005

Australia Square NSW 1214



1800 888 223



sharetrading.netwealth.com.au